Toward a Developmentally Sensitive DSM-5:

Validations of the Diagnostic Criteria for PTSD and ASD Among Preschool, School-Age, and Adolescent Samples

Chair: Patricia K. Kerig

Making PTSD Criteria Developmentally Appropriate Michael S. Scheeringa

PTSD as a "Gateway" Disorder in Children Justin Kenardy, Alexandra De Young, Erin Charlton

Child Acute Stress Symptoms: Evidence/Implications for Diagnostic Criteria Nancy Kassam-Adams, Patrick Palmieri, Kristen Kohser, Meghan Marsac

Is the Dysphoric Versus Anxious Arousal Distinction Relevant to Youth? Diana Bennett, Patricia Kerig, Shannon Chaplo

Making PTSD Criteria Developmentally Appropriate

Michael Scheeringa, MD, MPH Remigio Gonzalez Professor of Child Psychiatry Tulane University New Orleans, LA November 2, 2012



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Beyond Boundaries:

Innovations to Expand Services and Tailor Traumatic Stress Treatments

November 1 – 3, 2012 Pre-Meeting Institutes, October 31, 2012 JW Marriott Los Angeles at L.A. Live • Los Angeles, CA USA

Continuing Medical Education Commercial Disclosure Requirement

I, Michael Scheeringa, have no commercial relationships to disclose.

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Preschool Challenges

Many PTSD symptoms are highly internalized. Difficult to observe.
Emerging verbal capacities.
Different developmental manifestations.

Scheeringa MS (2011). Journal of Child & Adolescent Trauma 4:3, 181-197

PTSD-Alternative Algorithm (PTSD-AA) Recommendations for Preschool Children

A. Exposed to traumatic event.

(2) person's response involved intense fear, helplessness, or horror. Note: In children, may be expressed by disorganized or agitated behavior. Recommendation: Delete.

B.(1) recurrent and intrusive distressing recollections of the event. *Recommendation: "distress" not required.*

PTSD-AA recommendations

C. Avoidance and numbing cluster: *Recommendation: only 1 item instead of 3 required.*

C.(4) diminished interest in significant activities. Recommendation: ...may be manifest in play, social interactions, and daily routines.

C.(5) feeling of detachment or estrangement from others. *Recommendation: Increased social withdrawal*.

D.(2) irritability or outbursts of anger

Recommendation: ...or extreme fussiness or temper tantrums.

Face Validity for PTSD-AA Criteria

	Dx % by DSM-IV	Dx % by alternative	<i># PTSD sx in alternative dx</i>
Scheeringa et al 1995 n=12	13%	69%	Not reported
Scheeringa et al 2001 n=15	20%	60%	9.9
Levendosky et al 2002 n=62	3%	26%	Not reported
Ohmi et al 2002 n=32	0%	25%	6.1
Scheeringa et al 2003 n=62	0%	26%	6.1
<i>Meiser-Stedman et al 2008 n=156</i>	1.7%	10%	10.0
de Young et al 2012 n=130	5%	25%	6.4 for "misclassified"
Scheeringa et al 2012 n=284	13%	45%	7.0 for
			"misclassified"

New Data on Preschool: Study Design

Recruitment different types of trauma groups:

- 1. Single Event acute injuries.
- 2. Repeated Events domestic violence.
- 3. Circumstances added a Hurricane Katrina group.

Goal: Compare different diagnostic criteria.
 Funded by National Institute of Mental Health (R01 MH 65884-01A1)

Collaborators: Stacy Drury, Danny Pine, Frank Putnam, Charley Zeanah. **Research assistants:** Ruth Arnberger, Rociel Martinez, Sarah Watts, Tolanda Age, Cedar O'Donnell, Moira Flanagan, Emily Roser, Yolanda Steptore, Roneisha Alexander, Aleyda Diaz.

Characteristics of 3 Trauma Groups

	Single	Repeated	Hurricane
Ν	62	85	137
Age	5.2 yrs	5.1 yrs	5.1 yrs
Race Black/A-A White Other	82% ^a 11% ^b 7%	62% ^b 18% ^b 20%	62% ^b 28% ^a 10%
Mom education	12.4 yrs ^b	12.0 yrs ^b	13.7 ^a
Father in home	23% ^b	7% a	34% ^b
# types of event	1.0	1.7	1.4
# episodes	1.0	68.8 (median 9)	1.5

No differences between groups on mean Total, re-experiencing, avoidance/ numbing, or increased arousal PTSD symptoms. (Scheeringa et al., 2012) mscheer@tulane.edu

DSM-5

- Will include the first developmental subtype of a disorder in the history of the DSM: "Posttraumatic stress disorder in preschool children"
- Incorporates all of the PTSD-AA recommendations in previous slides

DSM-5

One difference from PTSD-AA
 D.4. "Persistent reduction in expression of positive emotions."

DSM-5 "Under Consideration" Symptoms: DSM-5-UC

- D.1. "Substantially increased frequency of negative emotional states for example, fear, guilt, sadness, shame, or confusion."
- E.2. "Reckless or self-destructive behavior."

These are highly problematic:

- (1) overlap with existing PTSD symptoms,
- (2) developmental inappropriateness, and
- (3) overly internalized...

Not to mention complete lack of empirical data.

Misclassification Rates

Other:	If DSM-IV Positive (n = 36)		If DSM-IV Ne (n = 248)	gative
	Other Pos.	Other Neg.	Other Pos.	Other Neg.
PTSD-AA	100%	0%	37%	63%
DSM-5	100%	0%	36%	64%
DSM-5-UC	100%	0%	42%	58%

Severity and Comorbidity

	PTSD symptoms	Impaired Domains	Comorbid Disorder	CBCL Total
DSM-IV	9.7	2.6	89%	70.6
PTSD-AA*	7.0	2.2	69%	61.1
DSM-5*	7.0	2.2	69%	61.1
DSM-5-UC*	7.4	2.1	67%	60.5

Note: Comorbid disorders = major depression, ADHD, oppositional defiant disorder, separation anxiety, specific phobia, social phobia, and generalized anxiety disorders.

*For PTSD-AA, DSM-5, and DSM-5-UC, only misclassified cases used.

What About 7-18 Years Youth?

- 141 youth, 7-18 years, enrolled for a treatment study of CBT ± D-cycloserine.
- Interviewed for PTSD with modified Diagnostic Interview Schedule for Children, parent and child versions.
- Funded by U.S. National Institute of Mental Health (1RC1 MH088969-01)
- Collaborators: Judith Cohen, Danny Pine, Karin Mogg, Brendan Bradley, Carl Weems
- Therapists: Emily Roser, Allison Staiger.
- Assistants: Megan Kirkpatrick, Jennifer Liriano.

No Differences Between Diagnostic Criteria Options with 13-18 Years Youth

N=61	Diagnosed: No	Diagnosed: Yes	Number PTSD symptoms	Number domains impaired
DSM-IV	30%	70%	11.9	4.7
PTSD-AA	26%	74%	*	*
DSM-5	31%	69%	*	*
*N Ale ale as if is all a second as the ale are all for the approximate all reasons				

Misclassified samples too small for meaningful means.

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Marked Differences Between Diagnostic Criteria Options with 7-12 Years Youth

N=78	Diagnosed: No	Diagnosed: Yes	Number PTSD symptoms	Number domains impaired
DSM-IV	65%	35%	10.8	4.6
PTSD-AA	35%	65%	7.6*	4.0*
DSM-5	46%	54%	7.8*	4.4*
*Misclassifi	ed cases only			

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Conclusions

- Preschool children require separate diagnostic criteria. Will be in DSM-5.
- Older (7-12 years) children may also need modified criteria. Poorly studied group.
- Should lead to huge increases in diagnoses and access to treatment.

PTSD AS A "GATEWAY" DISORDER IN CHILDREN JUSTIN KENARDY, ALEXANDRA DE YOUNG, ERIN CHARLTON

SCHOOL OF PSYCHOLOGY, & CONROD, UNIVERSITY OF QUEENSLAND, AUSTRALIA

COMORBIDITY AND PTSD-I

Adults

- Up to 80% of PTSD has comorbidity at some point
- Depression, Generalized Anxiety Disorder, Substance Abuse
- Comorbidity varies over time
- Relationship between Depression and traumatic stress changes over time (O'Donnell et al, 2004)
- PTSD may be constant but not always at a diagnostic level McMillen et al, 2002)
- Comorbidity may also be premorbidity (Koenen et al 2008)

COMORBIDITY AND PTSD-II

Children

- PTSD is under-recognised in children and this may in part be because comorbidity is easier to recognise
- Also PTSD Diagnostic Criteria may be inappropriate (De Young et al, 2011; Cohen & Scheeringa, 2010)
- Contrary to belief, PTSD in children may be less likely to remit with time (Scheeringa et al, 2005)
- Within range of 0-18 presentation can change
- Relatively more Substance Abuse, Depression, in adolescents
- More ADHD, ODD, Separation Anxiety in young children
- Do these change over time?
- Is PTSD a gateway?

AIMS

- To document prevalence of psychological reactions in children at 4 to 6 weeks, and again at 6 months following traumatic injury.
- To examine the relationships between posttraumatic stress and other psychological reactions in children

METHOD – PARTICIPANTS STUDY 1

- Sample drawn from Royal Childrens Hospital in Brisbane Australia
- Admission to hospital
- Age 1 6 years at admission
- All experienced traumatic burn injury
- N=130 admissions
- Assessed using Diagnostic Infant Preschool Assessment (Scheeringa & Haslett, 2010)

STUDY 1 PARTICIPANTS

Patient Characteristics

Male	68 (52)
Female	62 (48)
Age (years), M (SD)	2.70 (1.54)
<u>Burn type</u>	
Scald	53 (41)
Contact	51 (39)
Fire/flames	13 (10)
Chemical/electrical	4 (3)
Friction	9 (7)
Burn severity	
% TBSAa, M (SD)	3.24 (4.30)
Hospitalised	27 (21)

ONE MONTH DIAGNOSES IN CHILDREN AGED 1-5 YO POST BURN TRAUMA.

	Rate	New onset	Comorbid w. PTSD
PTSD-AA	33 (25%)	33 (100%)	-
PTSD-DSM IV	6 (5%)	6 (100%)	-
MDD	4 (3%)	4 (100%)	4(12%)*
ADHD	7 (5%)	2 (29%)	4 (12%)
ODD	21 (16%)	18 (86%)	16 (49%)*
SAD	21 (16%)	21 (100%)	16 (49%)*
Specific Phobia	6 (5%)	3 (50%)	5 (15%)*
Any disorder	45 (35%)	41 (91%)	24 (73%)

SIX MONTH DIAGNOSES IN CHILDREN AGED 1-5 YO POST BURN TRAUMA.

	Rate	New onset	Comorbid w. PTSD
PTSD-AA	13 (10%)	3 (23%)	-
PTSD-DSM IV	1 (1%)	0 (0%)	-
MDD	0 (0%)	0 (0%)	0(0%)
ADHD	8 (6%)	5 (63%)	5 (39%)*
ODD	17 (14%)	3 (18%)	10 (77%)*
SAD	10 (8%)	3 (30%)	5 (39%)*
Specific Phobia	12 (10%)	8 (67%)	2 (15%)
Any disorder	34 (27%)	18 (53%)	11 (85%)

RELATIONSHIP BETWEEN PTSD AND OTHER MORBIDITY OVER TIME

- PTSD at 1 month predictive of new non-PTSD diagnosis at 6 months ChiSq(1)=7.94, p<.04, OR 4.81 (1.62-14.69)
- All children with new onset non-PTSD diagnosis at 6 months had a minimum of 1 PTSS at 1 month.
- Children with new onset non-PTSD disorder at 6 mths had significantly more one-month PTSS (M=5.94) than children with no new onset disorders (M=2.73) at 6 months (t(17.46) = 3.55, p=.002)

METHOD – PARTICIPANTS STUDY 2

- Sample drawn from Three Hospitals in Brisbane
 Australia
- Admission to hospital for 24 hours min.
- Age 7 16 years at admission
- No indication of head injury
- 101 admissions after accidents
- 109 other admissions: control group
- Structured clinical interview: Anxiety disorders interview schedule for DSM-IV, child version (ADIS-C; Silverman & Albano, 1996)
- Parents are interviewed about their child's symptoms

SAMPLE (N=101 & C: N=109)

	Mean	Range
Age in years	10.83 (2.32)	7 – 16
	10.21 (2.28)	7 – 15.75
Duration of	126.17 (182.97)	24 – 1375
admission (hrs)	80.95 (61.46)	25 – 312
ISS	6.63 (4.25)	1 – 25
	Male	Female
Gender (%)	66.7	33.3
	54.2	45.8

INJURY CAUSES

Total PTSD



INCIDENCE OF PTSD

	4–6 weeks after accident	6 months after accident	Hospital control group
PTSD DSM- IV	3 %	2 %	0
PTSD-AA	20 %*	10 %*	0

OTHER PSYCHIATRIC MORBIDITY

	4 weeks after accident	6 months after accident	4 week Hospital control group	DSM-IV prevalence
Specific Phobia	6%	5%	0.9 %	?
Separation Anxiety Disorder	15%	12%	6.6 %	2 %
Generalized Anxiety Disorder	9%	5%	8.5 %	3 %
MDD	3%	2%	1.8%	2%

OTHER PSYCHIATRIC MORBIDITY

	4 weeks after accident	6 months after accident	Hospital control group	DSM-IV prevalence
ADHD	13%	8%	6 %	3-5 %
ODD	13%	15%	8 %	2-16 %
Externali sing	23%	24%	14%	

COMORBIDITY AT 1 MO.

	% PTSD with	Chi ²	OR	95%CI
Specific Phobia	11%	ns	ns	ns
Separation Anxiety Disorder	44%	6.39*	8.1	1.2-52.6
Generalized Anxiety Disorder	22%	13.89***	23.4	2.4-225.3
Internalising	61%	13.22***	6.6	2.2-19.6

COMORBIDITY AT 1 MO.

	% PTSD with	Chi ²	OR	95%CI
ADHD	28%	5.29*	4.2	1.2-15.2
ODD	25%	ns	2.4	0.71-7.93
Externalising	40%	4.21*	2.9	1.02-8.43

CO-MORBIDITY AT 6 MO.

	% PTSD with	Chi ²	OR	95%CI
Specific Phobia	40%	5.34*	7.3	1.1-50.2
Separation Anxiety Disorder	20%	ns	ns	ns
Generalized Anxiety Disorder	50%	7.51**	11.1	1.4-89.9
Internalising	50%	5.75*	4.7	1.2-18.1
MORBIDITY AT 6 MTH. CONDITIONAL ON 1 MTH. PTSD BUT <u>NO PTSD AT 6 MTH.</u>

	% one mo. PTSD with	X ²	OR	95%CI
SAD	11%	ns	ns	ns
Specific Phobia	17%	6.39*	8.1	1.3-52.7
Generalized Anxiety Disorder	17%	9.30**	16.4	1.6-168.4
Internalising	48%	16.07***	8.1	2.7-25.2

MORBIDITY AT 6 MTH. CONDITIONAL ON 1 MTH. PTSD BUT <u>NO</u> PTSD AT 6 MO.

	% 1 mo. PTSD with	X ²	OR	95%CI
ADHD	11%	ns	.79	.16-3.9
ODD	16%	ns	1.4	.35-5.67
Externalising	37%	ns	2.3	.80-1.11

PREDICTION OF 6 MO. CO-MORBIDITY BASED ON 1 MO. PTSD EXCL 6 MO. PTSD

- Conditional Logistic Regression model:
- Step 1: 1 mo Internalising Dx
- Step 2: 1 mo PTSD
- DV 6 mo Internalising Dx.
- Significant incremental prediction Chsq(1)=8.18 p=.004

PREDICTION OF 6 MO. CO-MORBIDITY BASED ON 1 MO. PTSD EXCL 6 MO. PTSD

- Conditional Logistic Regression model:
- Step 1: 1 mo Externalising Dx
- Step 2: 1 mo PTSD
- DV 6 mo Externalising Dx.
- Significant incremental prediction Chsq(1)<1 NS

SUMMARY

- New, non-PTSD, diagnoses appear to develop in children following trauma and continue to develop over time
- The presence of PTSD early on predicts the development of later non-PTSD disorders (especially internalising) with and without later PTSD.
- Diagnostic conceptualization of PTSD in children needs to take account of non-PTSD presentations that emerge over time after trauma.
- PTSD does appear to provide a "gateway" function, but may differ in expression with age.

THANKS

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- Other CONROD staff involved in data collection and analysis

Is the dysphoric vs. anxious arousal distinction relevant to youth?

> PTSD symptom structure among traumatized adolescents

Diana Bennett, Patricia Kerig, Shannon Chaplo, Andrew McGee, and Brian Baucom University of Utah



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PTSD symptoms	Models	Numbing	Dysphoria	
	DSM-IV	King	Simms	5-Factor(Elhai
B1: intrusive thoughts	R	R	R	R
B2: nightmares	R	R	R	R
B3: reliving trauma	R	R	R	R
B4: emotional cue reactivity	R	R	R	R
B5: physiological cue reactivity	R	R	R	R
C1: avoidance of thoughts	A/N	A	A	A
C2: avoidance of reminders	A/N	A	A	A
C3: trauma-related amnesia	A/N	N	D	N
C4: loss of interest	A/N	N	D	N
C5: feeling detached	A/N	N	D	N
C6: feeling numb	A/N	N	D	N
C7: hopelessness	A/N	N	D	N
D1: difficulty sleeping	H	H	D	DA
D2: irritable/angry	H	H	D	DA
D3: difficulty concentrating	H	H	D	DA
D4: overly alert	H	H	H	AA
D5: easily startled	H	H	H	AA

Note, R, reexperiencing; A, avoidance; N, numbing; H, hyperarousal; D, dysphoria; DA, dysphoric arousal; AA, anxious arousal.

(Elhai et al., 2011)

Limitations of Previous Research

- Conclusions based on small statistical differences in fit indices
 - Need to examine predictive validity
- Few studies of children and adolescents
- Inconsistent attention to the role of gender
- Studies based on singular traumatic events
 Importance of examining interpersonal trauma

The Contribution of Juvenile Justice-Involved Youth

- More than 90% have experienced a traumatic event, average of 14 in lifetime (Abram et al., 2004)
- Rates of PTSD 2-8x greater than general population (Wolpaw & Ford, 2004)
- PTSD linked with recidivism (Becker, Kerig, Lim, & Ezechukwu, 2012)



Predictive Validity of Factors

- Provide more meaningful justification for model preference
- Links to issues such as depression, substance use, suicidal ideation, anger, and somatic complaints can help target treatment
- Better understand comorbid disorders
- For JJS youth, results hold additional implications

Goals of the Current Study

- Which model fits best?
- How are factors of the best-fitting model differentially associated with types of trauma exposure?
- How are factors of the best-fitting model associated with mental health problems?
 - Depression/anxiety, anger/irritability, somatic complaints, substance use, suicidal ideation

Participants

- 1,363 youth (990 boys, 373 girls)
- Recruited from 2 juvenile detention centers in the West and Midwest
- ▶ Ages 11–18 (*M*=15.56, *SD*=1.41)
- 65% European American
- > 20% African American
- 9% Latino
- 3% Multiracial
- 1% Pacific Islander/Native Hawaiian
- 1% Native American/Alaskan Native

Measures

- Trauma exposure (PTSD-RI; Pynoos et al., 1998)
 - Interpersonal (e.g., assault, child abuse, rape)
 - Non-interpersonal (e.g. natural disasters, accidents)
- Simple PTSD (PTSD-RI; Pynoos et al., 1998)
 - 0 (none) to 4 (most of the time) in past month
 - Cluster B: Reexperiencing ($\alpha = .84$)
 - Cluster C: Avoidance ($\alpha = .80$)
 - Cluster D: Hyperarousal ($\alpha = .70$)

Measures

- Mental health problems (MAYSI-2; Grisso & Barnum, 2003)
 - Depressed/Anxious ($\alpha = .73$)
 - "Have nervous or worried feelings kept you from doing things you want to do?"
 - Alcohol/Drug ($\alpha = .82$)
 - "Have you gotten in trouble you when you've been high or have been drinking?"
 - Anger/Irritability ($\alpha = .81$)
 - "Have you hurt or broken something on purpose, just because you were mad?"
 - Somatic Complaints ($\alpha = .76$)
 - "Have you had bad headaches?"
 - Suicidal Ideation ($\alpha = .79$)

"Have you felt like killing yourself?"

Gender Differences

	Boys (M, SD)	Girls (м, sd)	t
Interpersonal Trauma Exposure	2.52 (1.63)	3.02 (1.85)	4.53**
Non-Interpersonal Trauma Exposure	0.78 (0.91)	0.86 (0.91)	1.45
Intrusion	5.56 (4.89)	8.13 (5.44)	7.62**
Avoidance	4.75 (5.08)	5.74 (5.40)	2.72**
Numbing	7.37 (5.93)	9.96 (6.47)	6.06**
Anxious Arousal	2.92 (2.15)	3.55 (2.16)	4.14**
Dysphoric Arousal	7.22 (3.86)	8.99 (3.83)	6.54**

p*<.05. *p*<.01.

Gender Differences

	Boys (M, SD)	Girls (м, sd)	t
Alcohol / Drug	2.43 (2.40)	2.61 (2.42)	0.95
Anger / Irritability	3.08 (2.64)	4.05 (2.64)	4.62**
Depressed / Anxious	1.93 (2.04)	2.92 (2.23)	5.95**
Somatic Complaints	2.59 (1.95)	3.68 (1.85)	7.13**
Suicidal Ideation	0.59 (1.15)	1.17 (1.66)	5.65**

p*<.05. *p*<.01.

Which Model Fits Best?

	Model	CFI/TLI	RMSEA	SRMR	X ² (df)
Worst fitting	3-Factor DSM	.84/.82	.072	.068	1517.14 (249)
	4-Factor Dysphoria	.90/.89	.056	.050	1006.48 (246)
	4-Factor Numbing	.92/.91	.052	.050	897.73 (246)
Best fitting	5-Factor Dysphoric Arousal	.92/.91	.051	.048	857.35 (242)
	Adequate fit Good fit	>.90 > .95	<.08 <.05	<.08 <.05	

How is 5-factor model related to trauma and mental health?

- Path model evidenced good fit
 - MLR estimator in Mplus version 6.11 (Muthen & Muthen)

5-Factor Model	CFI	RMSEA	SRMR	X² (df)	Scaling Correction Factor
Path Model (MLR)	.996	.030	.023	22.18 (10)	1.054
Adequate fit Good fit	>.90 > .95	<.08 <.05	<.08 <.05		

How is trauma related to PTSD symptoms?





Boys



Girls













Boys Drug Anger/ Avoidance Irritability Numbing→ Mental Depression/ Numbing Health Anxiety **Problems** Dysphoric Somatic Arousal Anxious Suicidal Arousal Ideation

Intrusion

Alcohol/



Boys Dysphoric Arousal \rightarrow Mental Health **Problems**



Girls

Dysphoric Arousal → Mental Health Problems



Anxious Arousal → Mental Health Problems

Boys



Girls

Anxious Arousal → Mental Health Problems




Moderation by Gender

No difference between girls and boys



Moderation by Gender

Stronger for **BOYS** than GIRLS



Moderation by Gender



Conclusions

- DSM tripartite structure is not ideal
- Symptoms can interfere with functioning without meeting full DSM-IV criteria (Cohen & Scheeringa, 2009)
- 5-factor Dysphoric Arousal model fits best
 - Support for distinction between dysphoric and anxious arousal
- Associated with experience of both interpersonal and non-interpersonal trauma exposure
- Girls and boys each show effects of PTSD
 - Important implications for JJS youth, especially girls (Zahn, Hawkins, Chiancone, & Whitworth, 2008)

Future Directions

- Distinction between self-harm and suicidal ideation
- Effects of age on mental health problems
- Investigation of severity of delinquency as an outcome

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